



**DALLAS GEORGE/6840 CAROTHERS PKWY, SUITE 100, FRANKLIN, TN 37067**

**PERSONAL INFORMATION /DATE** \_\_\_\_\_

Name: \_\_\_\_\_ Spouse \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Occupation: \_\_\_\_\_ Occupation: \_\_\_\_\_

Employer/Address: \_\_\_\_\_ Employer/Address: \_\_\_\_\_

Work Phone: \_\_\_\_\_ Work Phone: \_\_\_\_\_

Cell Phone: \_\_\_\_\_ Cell Phone: \_\_\_\_\_

E-mail: \_\_\_\_\_ E-mail: \_\_\_\_\_

Home Address: \_\_\_\_\_

Home Phone: \_\_\_\_\_ #of Dependents: \_\_\_\_\_

**INSURANCE INFORMATION**

**Long-Term Care:** Client  Yes  No

Spouse  Yes  No

**Life Insurance:** Are you interested?  Yes  No

<i>Insured</i>	<i>Amount</i>	<i>Company</i>	<i>Term</i>	<i>Perm</i>	<i>Years Remaining (Term)</i>

**Disability Income Insurance:**

<i>Insured</i>	<i>Monthly Benefit</i>	<i>Company/Private Policy</i>	<i>Short</i>	<i>Long</i>	<i>Premium</i>

(Continue)

**FINANCIAL INFORMATION:**

Client/ Primary Employment Income: \_\_\_\_\_ Spouse/ Primary Employment Income: \_\_\_\_\_

Other Sources of Income: \_\_\_\_\_ Source List: \_\_\_\_\_

Mortgage: \_\_\_\_\_ Will/Trust: \_\_\_\_\_ Debt Information: \_\_\_\_\_

Balance/Payment

**INVESTMENT EXPERIENCE: (approximate values)**

Cash/Checking: \_\_\_\_\_ Savings/CDs: \_\_\_\_\_ Additional Saving/Type/\$ Amount \_\_\_\_\_

Additional Debt /\$/Type/: \_\_\_\_\_ Mutual Funds/Stocks: \_\_\_\_\_ IRA/SEP: \_\_\_\_\_

401(k): **Client/** \_\_\_\_\_ contribution% **Spouse/** \_\_\_\_\_ contribution%

Pension Estimate \_\_\_\_\_

Desired Retirement Date: \_\_\_\_\_

**REAL ESTATE:**

Primary Resident Value: \_\_\_\_\_ Investment Real Estate: \_\_\_\_\_

Rental Property (s): \_\_\_\_\_ Vacation Home: \_\_\_\_\_

**Financial Priorities/Investment Objectives: (check all the apply)**

**Managing Risk:** Replacement Income, Protect Assets, Final Expenses

**Financial Independence:** Retirement Needs, Systematic Savings

**When Investing do You Consider Yourself:**

Conservative       Conservative/Moderate       Moderate       Moderate/Aggressive       Aggressive

**Investment Time Horizon:**    Short (0-3)    Medium (3-7)    Long (>7yrs)

**Additional Comments: (please list additional items that you would like to discuss)**

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