NaviPlan

Documents Needed for Financial Planning

Some or all of the following documents provide information that can be important as we work together to create your financial plan. This material will be treated confidentially and returned when your plan is completed, or earlier if requested.

Most Recent Payroll Stub

- ❑ Self
- ❑ Spouse

Income Tax Returns-Previous Two Years

- ❑ Self
- ❑ Spouse (if filing separately)
- ❑ Business

Current Financial Statements

- ❑ Personal Net Worth
- ❑ Monthly Expenses
- ❑ Business Balance Sheet

Financial Plan and Analysis

- ❑ Existing Plan
- ❑ Existing Needs Analysis

Wills/Trusts

- ❑ Self
- ❑ Spouse

Divorce Decree, Separation Agreements, Nuptial Agreements

- ❑ Self
- ❑ Spouse

Insurance/Annuity Contracts, Statements, and In-force Illustrations

- ❑ Life
- ❑ Health
- ❑ Disability
- ❑ Group Insurance
- ❑ Annuities

Loan Agreements and Amortization Schedules

- ❑ Mortgage
- ❑ Other

Savings and Retirement Statements

- ❑ Pension Plan/Profit Sharing
- ❑ Keogh/SEP
- ❑ 401(k)/Tax Sheltered Annuity/Employee Deferred Compensation
- ❑ IRA/Roth IRA
- ❑ Savings
- ❑ Mutual Fund Accounts & Brokerage Accounts

Company Benefit Statements/Booklets

- ❑ Self
- ❑ Spouse

Stock Options

- ❑ Statements
- ❑ Vesting Schedule(s)

Business Documents

- ❑ Buy/Sell Agreement
- ❑ Deferred Compensation
- ❑ Wage Continuation
- ❑ Employment Agreement
- ❑ Group Benefit Programs
- ❑ Other Employee Paid Benefits

Our next meeting is scheduled for __________(day), __________________________(date),

at _________(time). We have agreed to meet at ______________________________(location).